

**WEST****Freeform Search**

Database:

US Patents Full-Text Database  
 JPO Abstracts Database  
 EPO Abstracts Database  
 Derwent World Patents Index  
 IBM Technical Disclosure Bulletins

Term:

threshold SAME (account or investment or invested  
 or investing or (mutual ADJ fund)) SAME (transfer  
 or transferred or transferred or transferring or  
 transferring)

Display:

11 Documents in Display Format: CIT Starting with Number 1

Generate: ☐ Hit List ☒ Hit Count ☐ Image

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**Search History**

Today's Date: 3/6/2001

DB Name	Query	Hit Count	Set Name
JPAB,EPAB,DWPI,TDBD	threshold SAME (account or investment or invested or investing or (mutual ADJ fund)) SAME (transfer or transferred or transferred or transferring or transferring)	32	L5
USPT	L2 and L3	25	L4
USPT	L1 SAME (transfer or transferred or transferred or transferring or transferring)	171	L3
USPT	L1 and (705/\$.ccls. or 235/\$.ccls.)	169	L2
USPT	threshold SAME (account or investment or invest or investing or invested or (mutual ADJ fund))	4544	L1

Searches  
through  
KWIC

Searches  
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KWIC

NDR

3/6/2001

From 09/356,963. MARR of record 11/22/04

NDR

**WEST****Freeform Search**

Database: US Patents Full-Text Database  
JPO Abstracts Database  
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Derwent World Patents Index  
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Term: L2 and (invest\$4 or fund or saving or retire\$4)

Display: 13 Documents in Display Format: TI Starting with Number 1

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Today's Date: 3/5/2001

<u>DB Name</u>	<u>Query</u>	<u>Hit Count</u>	<u>Set Name</u>
USPT	L2 and (invest\$4 or fund or saving or retire\$4)	53	<u>L3</u>
USPT	(refund or rebate or cashback or (cash ADJ back)) and @PD>20000815	105	<u>L2</u>
USPT	(705/14.ccls. or 705/36.ccls. or 705/39.ccls.) and @PD>20000814	92	<u>L1</u>

*searched through, KWIC*

*NDR*

*3/5/2001*

*From 09/356,963 made of words 11/22/04*

*NDR*

**WEST****Freeform Search**

Database: US Patents Full-Text Database  
JPO Abstracts Database  
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Derwent World Patents Index  
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Term: L4 or L5

Display: 10 Documents in Display Format: TI Starting with Number 1

Generate: ☐ Hit List ☒ Hit Count ☐ Image

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**Search History**

Today's Date: 8/15/2000

DB Name	Query	Hit Count	Set Name	
USPT,JPAB,EPAB,DWPI,TDBD	L4 or L5	275	<u>L6</u>	searched through
USPT,JPAB,EPAB,DWPI,TDBD	L1 and (www or web or website or e-commerce) and (invest\$ or fund or saving or retire\$)	89	<u>L5</u>	
USPT,JPAB,EPAB,DWPI,TDBD	L2 and (invest\$ or fund or saving or retire\$)	249	<u>L4</u>	
USPT,JPAB,EPAB,DWPI,TDBD	L2 and (invest\$ or fund or saving or retire\$ or account\$)	366	<u>L3</u>	
USPT,JPAB,EPAB,DWPI,TDBD	L1 and (((credit or debit or smart or swipe) ADJ card) or pos or (point NEAR2 (sale or service)))	488	<u>L2</u>	
USPT,JPAB,EPAB,DWPI,TDBD	refund or rebate or cashback or (cash ADJ back)	5125	<u>L1</u>	

From 09/465,343. Also 09/356,963

Made of record 11/22/04 *nDR**nDR*  
8/15/2000

?show files; ds

File 15:ABI/Inform(R) 1971-2001/Mar 05  
(c) 2001 Bell & Howell

File 9:Business & Industry(R) Jul/1994-2001/Mar 05  
(c) 2001 Resp. DB Svcs.

File 623:Business Week 1985-2001/Mar W1  
(c) 2001 The McGraw-Hill Companies Inc

File 810:Business Wire 1986-1999/Feb 28  
(c) 1999 Business Wire

File 275:Gale Group Computer DB(TM) 1983-2001/Mar 05  
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(c) 2001 McGraw-Hill Co. Inc

File 813:PR Newswire 1987-1999/Apr 30  
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File 621:Gale Group New Prod.Annou.(R) 1985-2001/Mar 05  
(c) 2001 The Gale Group

File 16:Gale Group PROMT(R) 1990-2001/Mar 05  
(c) 2001 The Gale Group

File 160:Gale Group PROMT(R) 1972-1989  
(c) 1999 The Gale Group

File 148:Gale Group Trade & Industry DB 1976-2001/Mar 05  
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File 20:World Reporter 1997-2001/Mar 06  
(c) 2001 The Dialog Corporation

File 267:Finance & Banking Newsletters 2001/Mar 05  
(c) 2001 The Dialog Corp.

File 625:American Banker Publications 1981-2001/Mar 06  
(c) 2001 American Banker

File 268:Banking Information Source 1981-2001/Feb W4  
(c) 2001 Bell & Howell

File 139:EconLit 1969-2001/Feb  
(c) 2001 American Economic Association

File 636:Gale Group Newsletter DB(TM) 1987-2001/Mar 05  
(c) 2001 The Gale Group

File 473:Financial Times Abstracts 1998-2001/Mar 02  
(c) 2001 The New York Times

File 474:New York Times Abs 1969-2001/Mar 05  
(c) 2001 The New York Times

File 475:Wall Street Journal Abs 1973-2001/Mar 02  
(c) 2001 The New York Times

File 347:JAPIO Oct 1976-2000/Sep(UPDATED 010304)  
(c) 2001 JPO & JAPIO

File 348:EUROPEAN PATENTS 1978-2000/Feb W04  
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File 349:PCT Fulltext 1983-2001/UB=20010222, UT=20010208  
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File 256:SoftBase:Reviews,Companies&Prods. 85-2001/Jan  
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File 278:Microcomputer Software Guide 2001/Feb  
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File 634:San Jose Mercury Jun 1985-2001/Mar 03  
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File 2:INSPEC 1969-2001/Mar W1  
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(c) 2001 Cambridge Sci Abs

File 99:Wilson Appl. Sci & Tech Abs 1983-2001/Jan  
(c) 2001 The HW Wilson Co.

File 233:Internet & Personal Comp. Abs. 1981-2001/Mar  
(c) 2001 Info. Today Inc.

File 583:Gale Group Globalbase(TM) 1986-2001/Mar 06  
(c) 2001 The Gale Group

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S1	17809	(THRESHOLD OR THRESHOLDS OR (MINIMUM(3N) (AMOUNT OR AMOUNTS-))) (S) (ACCOUNT OR ACCOUNTS OR INVESTMENT OR INVESTMENTS OR INVEST OR INVESTS OR INVESTING OR INVESTED OR (MUTUAL(W) (FUND OR FUNDS))) AND PY<2000
S2	20496	(THRESHOLD OR THRESHOLDS OR (MINIMUM(3N) (AMOUNT OR AMOUNTS-))) (S) (TRANSFER???? OR PURCHAS??? OR BUY??? OR BOUGHT) AND PY-<2000
S3	2276	S1 AND S2
S4	1867	RD (unique items)
S5	192	S4 AND ((MUTUAL(W) (FUND OR FUND)) OR ((INVESTMENT OR RETIREMENT) (W) (ACCOUNT OR ACCOUNTS)))

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by keyword

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3/6/2001

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